

Encoding Hard String Problems with Answer Set Programming

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warning:

Although I mostly work on theoretical stuff, we here get actual code to run!

problem setting

- ▶ only a tiny fraction of problems are efficiently solvable
- ▶ infinitely many problems are NP-hard (NP-hard is closed under union/intersection/concatenation)
- ▶ but sometimes we need really to solve a problem, for which no efficient solution exists

What can we do?

- ▶ use heuristics: approximation algorithms, probabilistic tree search, evolutionary algorithm, etc.
- ▶ but may not work if we want the exact solution!

On what problems we want to look at?

solution

1.

2.

3.

4.

5.

6.

- ▀ Solution has exactly three errors with each input score!
- ▀ There is no solution with fewer errors.

← solution

reduction to CLOSEST STRING

Problem CLOSEST STRING

Input

- ▀ set of m strings $\mathcal{S} = \{S_1, \dots, S_m\}$ on an alphabet Σ of size σ
- ▀ $|S_j| = n \quad \forall j \in [1..m]$

Task: find string T with

- ▀ $|T| = n$
- ▀ $\max_{x \in [1..m]} \text{dist}_{\text{ham}}(S_x, T)$ is minimal

where $\text{dist}_{\text{ham}}(S_x, T) := |\{i \in [1..n] : S_x[i] \neq T[i]\}|$ is Hamming distance between T and S_x .

- ▀ problem is NP-hard for $\sigma \geq 2$ in n and $m!$ Frances, Litman'97
- ▀ fortunately: already exist efficient solutions for this problem (ILP solver, etc.)

example

	1	2	3	4	5	6	7	8	9	10	11	12	13
$S_1 =$	l	n	e	e	p	l	e	s	s	n	e	l	s
$S_2 =$	s	l	e	e	p	s	l	s	s	n	e	s	n
$S_3 =$	n	l	e	l	p	l	e	s	s	n	s	s	s
$S_4 =$	s	n	e	e	p	l	e	l	s	n	s	s	s
$S_5 =$	s	l	l	e	e	l	e	s	s	n	s	s	s

example

	1	2	3	4	5	6	7	8	9	10	11	12	13
$S_1 =$	l	n	e	e	p	l	e	s	s	n	e	l	s
$S_2 =$	s	l	e	e	p	s	l	s	s	n	e	s	n
$S_3 =$	n	l	e	l	p	l	e	s	s	n	s	s	s
$S_4 =$	s	n	e	e	p	l	e	l	s	n	s	s	s
$S_5 =$	s	l	l	e	e	l	e	s	s	n	s	s	s
$T =$	s	l	e	e	p	l	e	s	s	n	e	s	s

actually same problem and solution with the scores!

why this problem?

- well-studied:
 - 31 conference papers
 - 22 journal papers
- it is a string problem, and we love strings!



from https://upload.wikimedia.org/wikipedia/commons/a/a0/Stringed_Instruments.jpg

yet. . .

do we have any implementation of a solution available so far?

“We do not compare with the algorithm in [6], because its code is not available.”

Shota Yuasa, Zhi-Zhong Chen, Bin Ma, Lusheng Wang:
Designing and Implementing Algorithms for the Closest String Problem.
Proc. FAW 2017, LNCS 10336, pages 79-90

Of course, the authors also did not publish their code. . .

So is there any implementation available at all?

The algorithm is explained in detail in the following article:

<https://example.com>

<https://github.com/kirilenkobm/BDCSP> (accessed: 30th of April 2023)

Other Half-Baked Code Repositories

- ▮ “A challenge to make this basic closest-strings program more efficient. ”
last update: 3 years ago (2020)
`https://github.com/robertvunabandi/closest-strings-challenge`
- ▮ “Swarm Intelligence project: Closest string problem”
last update: 6 years ago (2017)
`https://github.com/arnomoonens/closest-string-problem`
- ▮ :

Looks like some unfinished student projects. So:

- ▮ will the code run? maybe
- ▮ will it produce correct results? unknown: there are (mostly) no tests

our aim

exact search:

- ▀ brute-force, exhaustive search : easy to program, but combinatorial explosion prevents from working even on small input sizes
- ▀ Integer linear programming (ILP) or MAX-SAT formulation: burden on the implementation!

want to have: tool for fast prototyping

- ▀ easy implementation
- ▀ speed should be reasonable
- ▀ goals:
 - ◇ fast problem solving
 - ◇ usable for testing coding-intensive implementations at an early stage

introduction to answer set programming (ASP)

- ▶ Prolog-like declarative language
- ▶ most classic problems like traveling salesman program can be expressed in a few lines of code, but still performant on small instance sizes
- ▶ current standard: ASP-Core-2 Calimeri+'19
- ▶ standard reference implementation: `clingo`
 - in active development at <https://potassco.org/clingo/> (University of Potsdam) by Torsten Schaub
 - shipped with common Linux distributions such as Ubuntu/Debian:
`adb install gringo`

how to solve CLOSEST STRING with ASP?

with seven lines of code:

```
1 mat(X,I) :- s(X,I,_).
2 1 {t(I,C) : s(_,I,C)} 1 :- mat(_,I).
3 c(X,I) :- t(I,C), s(X,I,A), C != A.
4 cost(X,C) :- C = #sum {1,I : c(X,I)}, mat(X,_).
5 mcost(M) :- M = #max {C : cost(_,C)}.
6 #minimize {M : mcost(M)}.
7 #show t/2. #show mcost/1. #show cost/2.
```

how does the input look like?

transform texts

▀ $S_1 = \text{lneeplessnls}$

▀ \vdots

▀ $S_5 = \text{slleelessnsss}$

write $S_j[i]$ as $s(j, i, \text{rank}(S_j[i]))$, where
rank is the ASCII rank of the symbol

▀ $l \mapsto 108$

▀ $n \mapsto 110$

▀ $e \mapsto 101$

▀ $s \mapsto 115$

ASP input

1 $s(0, 0, 108).$

2 $s(0, 1, 110).$

3 $s(0, 2, 101).$

4 \dots

5 $s(4, 10, 115).$

6 $s(4, 11, 115).$

7 $s(4, 12, 115).$

modelling the input

- so we have at startup tuples $s(i, j, S_i[j])$
- next we create a boolean matrix `mat` that specifies whether $S_i[j]$ exists

```
1 mat(X,I) :- s(X,I,_).
2 1 {t(I,C) : s(_,I,C)} 1 :- mat(_,I).
3 c(X,I) :- t(I,C), s(X,I,A), C != A.
4 cost(X,C) :- C = #sum {1,I : c(X,I)}, mat(X,
    _).
5 mcost(M) :- M = #max {C : cost(_,C)}.
6 #minimize {M : mcost(M)}.
7 #show t/2. #show mcost/1. #show cost/2.
```

but how do we get to the closest substring of that?

Restriction of Optimal Solution

Lemma (Kelsey, Kotthoff'11)

There exists an optimal solution T with $T[i] \in \{S_1[i], \dots, S_m[i]\}$.

Proof.

- ▮ if $T[i] \notin \{S_1[i], \dots, S_m[i]\}$, then T mismatches with all input strings at position i
- ▮ if $T[i] = S_j[i]$, then the distance to at least S_j is better, so it does not worsen the distance

□

Definition

define $\Sigma_i := \{S_1[i], \dots, S_m[i]\}$ effective alphabet for position $i \in [1..n]$

modelling T

- model $T[i]$ as a boolean matrix $T_{i,c} = 1 \Leftrightarrow T[i] = c$
- state that $T[i] = S_x[i]$, i.e., only one $T_{i,c}$ is set:

$$\forall i \in [1..n] : \sum_{c \in \Sigma_i} T_{i,c} = 1$$

$$[\mathcal{O}(n), \mathcal{O}(\min(m, \sigma))]$$

complexity (x,y) :

- x : # clauses
- y : # variables per clause

```
1 mat(X,I) :- s(X,I,_).
2 1 {t(I,C) : s(_,I,C)} 1 :- mat(_,I).
3 c(X,I) :- t(I,C), s(X,I,A), C != A.
4 cost(X,C) :- C = #sum {1,I : c(X,I)}, mat(X,
    _).
5 mcost(M) :- M = #max {C : cost(_,C)}.
6 #minimize {M : mcost(M)}.
7 #show t/2. #show mcost/1. #show cost/2.
```

modelling costs

- define $C_{i,x} \in \{0, 1\}$:
 $\forall i \in [1..n], x \in [1..m]$ with
 $C_{i,x} = 1$ if $T[i] \neq S_x[i]$.

- then $\text{dist}_{\text{ham}}(T, S_x) = \sum_{i \in [1..n]} C_{i,x}$ is Hamming distance between T and S_x

$$\forall i \in [1..n], c \in \Sigma, x \in [1..m] : \\ T_{i,c} \wedge S_x[i] \neq c \implies C_{i,x} \\ [\mathcal{O}(nm\sigma), \mathcal{O}(1)]$$

```
1 mat(X,I) :- s(X,I,_).
2 1 {t(I,C) : s(_,I,C)} 1 :- mat(_,I).
3 c(X,I) :- t(I,C), s(X,I,A), C != A.
4 cost(X,C) :- C = #sum {1,I : c(X,I)}, mat(X,
    _).
5 mcost(M) :- M = #max {C : cost(_,C)}.
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```

maximum of summed costs

- add helper variables

$$\text{cost}_x := \sum_{i \in [1..n]} C_{i,x} = \text{dist}_{\text{ham}}(T, S_x)$$

- and compute the maximum value $\text{mcost} := \max\{\text{cost}_1, \dots, \text{cost}_m\}$

```
1 mat(X,I) :- s(X,I,_).
2 1 {t(I,C) : s(_,I,C)} 1 :- mat(_,I).
3 c(X,I) :- t(I,C), s(X,I,A), C != A.
4 cost(X,C) :- C = #sum {1,I : c(X,I)}, mat(X,
   _).
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6 #minimize {M : mcost(M)}.
7 #show t/2. #show mcost/1. #show cost/2.
```

setting the objective

- statement for setting $C_{i,x}$ to false is not needed: optimizer will do so if it does not violate Line 3
- for that, our objective is:

$$\text{minimize } \max_{x \in [1..m]} \sum_{i \in [1..n]} C_{i,x}$$

$[\mathcal{O}(1), \mathcal{O}(mn)]$

```
1 mat(X,I) :- s(X,I,_).
2 1 {t(I,C) : s(_,I,C)} 1 :- mat(_,I).
3 c(X,I) :- t(I,C), s(X,I,A), C != A.
4 cost(X,C) :- C = #sum {1,I : c(X,I)}, mat(X,
    _).
5 mcost(M) :- M = #max {C : cost(_,C)}.
6 #minimize {M : mcost(M)}.
7 #show t/2. #show mcost/1. #show cost/2.
```

specifying the output

output T , mcost, and cost

```
1 mat(X,I) :- s(X,I,_).
2 1 {t(I,C) : s(_,I,C)} 1 :- mat(_,I).
3 c(X,I) :- t(I,C), s(X,I,A), C != A.
4 cost(X,C) :- C = #sum {1,I : c(X,I)}, mat(X,
    _).
5 mcost(M) :- M = #max {C : cost(_,C)}.
6 #minimize {M : mcost(M)}.
7 #show t/2. #show mcost/1. #show cost/2.
```

complexities

- ▶ $\mathcal{O}(n\sigma)$ selectable variables
($T_{i,c}$)
- ▶ $\mathcal{O}(nm)$ helper variables
($C_{i,x}$),
- ▶ $\mathcal{O}(nm\sigma)$ clauses (Line 3).

```
1 mat(X,I) :- s(X,I,_).
2 1 {t(I,C) : s(_,I,C)} 1 :- mat(_,I).
3 c(X,I) :- t(I,C), s(X,I,A), C != A.
4 cost(X,C) :- C = #sum {1,I : c(X,I)}, mat(X,
    _).
5 mcost(M) :- M = #max {C : cost(_,C)}.
6 #minimize {M : mcost(M)}.
7 #show t/2. #show mcost/1. #show cost/2.
```

interpreting output

- since $mcost = 3$, we have at most three errors at each text position
- (actually we have exactly three errors at all positions when looking at cost for this solution)
- by remapping ASCII ranks to characters from $t(i, rank(T[i]))$, we obtain $T = \text{sleeplessness}$

```
mcost(3)
cost(0,3) cost(1,3) cost(2,3)
cost(3,3) cost(4,3)
t(0,115) t(1,108) t(2,101) t(3,101)
t(4,112) t(5,108) t(6,101) t(7,115)
t(8,115) t(9,110) t(10,101)
t(11,115) t(12,115)
```


works in practice

freely available at <https://github.com/koepp1/aspstring>

- ▀ python wrapper around ASP/clingo calls
- ▀ input and output: plain string(s)
- ▀ framework for working with strings: easy to write code for other string-related problems

evaluation with brute-force approach (test every possible value for $T[1..n]$)

evaluation on random datasets

file	x	ASP				brute-force	
		rules	vars	choices	[s]	choices	[s]
s05m07n009i0	6	1025	264	673	0.01	327 680	2.19
s05m07n009i1	6	1002	262	608	0.01	172 800	1.15
s05m07n009i2	6	977	253	589	0.01	98 304	0.66
s05m08n009i0	6	1122	290	605	0.01	230 400	1.74
s05m08n009i1	6	1123	290	975	0.01	216 000	1.64
s05m08n009i2	6	1136	291	716	0.01	288 000	2.17
s05m09n009i0	6	1288	321	725	0.01	640 000	5.47
s05m09n009i1	7	1258	319	1723	0.02	409 600	3.48
s05m09n009i2	7	1273	320	1828	0.02	512 000	4.33
s06m07n009i0	6	1039	265	974	0.01	384 000	2.57
s06m07n009i1	7	1078	268	1767	0.02	768 000	5.12
s06m07n009i2	6	1002	262	569	0.01	172 800	1.15
s06m08n009i0	6	1191	295	1074	0.01	750 000	5.67
s06m08n009i1	7	1248	299	2378	0.02	1 800 000	13.63
s06m08n009i2	7	1248	299	2128	0.02	1 800 000	13.61
s06m09n009i0	7	1303	322	1837	0.02	800 000	6.81
s06m09n009i1	7	1396	328	1849	0.02	2 700 000	22.97
s06m09n009i2	6	1336	324	1874	0.02	1 080 000	9.07

s05m07n009i0 denotes

- ▀ $\sigma = 5$
- ▀ $m = 7$
- ▀ $n = 9$
- ▀ $i = 0$ -th sample (iteration)

columns:

- ▀ $x = \text{mcost}$
- ▀ $[s]$: time in seconds

observation:

- ▀ $\#$ choices
correlates with time
- ▀ ASP has much fewer to check

but wait. . .

. . . if there are good solutions like ILP for CLOSEST STRING, why bother?

maybe you work on a variation: CLOSEST STRING \Rightarrow CLOSEST SUBSTRING

- ▀ fewer references, much fewer implementations
- ▀ hard to adapt ILP/MAX-SAT implementations to this variation
- ▀ but easy with ASP!

CLOSEST SUBSTRING

▀ parameter λ : length of the output string T : $|T| = \lambda$

▀ objective: minimize $\max_{x \in [1..m]} \text{dist}_\lambda(S_x, T)$

where $\text{dist}_\lambda(S_x, T) := \min_{i \in [1..n-\lambda+1]} \text{dist}_{\text{ham}}(S_x[i..i+\lambda-1], T)$: alignment score

CLOSEST SUBSTRING example for $\lambda = 4$

	1	2	3	4	5	6	7	8	9	10	11	12	13
$S_1 =$	s	l	e	s	n	l	e	s	s	p	e	s	s
$S_2 =$	s	n	e	l	p	e	l	l	n	e	s	s	s
$S_3 =$	s	s	s	s	s	s	l	p	s	p	e	s	s
$S_4 =$	p	s	e	l	n	e	s	e	e	l	s	e	s
$S_5 =$	n	e	s	s	s	l	s	n	e	l	e	s	s

- task: compute a solution for $\lambda = 4$
 - idea: shift S_j and compute CLOSEST STRING for the first λ characters
- Gramm+'03

modelling input

same startup, but also need to
set $\lambda = 4$ via `la(4)`.

```
1 mat(X,I) :- s(X,I,_).
2 1 {d(X,D) : D = 0..n-la} 1 :- mat(X,0).
3 si(I,C) :- s(X,J,C), d(X,D), J-D>=0, I=J-D.
4 1 {t(I,C) : si(I,C)} 1 :- mat(_,I), I < la.
5 c(X,I) :- t(I,C), s(X,J,A), d(X,D), I+D==J,
           I < la, A != C.
6 cost(X,C) :- C=#sum {1,I:c(X,I)}, mat(X,_).
7 mcost(M) :- M = #max {C : cost(_,C)}.
8 #minimize {M : mcost(M)}.
9 #show t/2. #show mcost/1. #show cost/2.
```

for space reasons: $si(gma) = \sigma$, $la(mbda) = \lambda$

modelling shifts

- select shifts $d_x \in [0..n - \lambda]$ of each input string S_x such that the CSP of $\{S_1[1 + d_1.. \lambda + d_1], \dots, S_m[1 + d_m.. \lambda + d_m]\}$ is a solution of CSS if we take the minimum distance over all shifts d_x
- represent the shifts by a matrix of selectable Boolean variables of size $\mathcal{O}(m(n - \lambda))$

```
1 mat(X,I) :- s(X,I,_).
2 1 {d(X,D) : D = 0..n-la} 1 :- mat(X,0).
3 si(I,C) :- s(X,J,C), d(X,D), J-D>=0, I=J-D.
4 1 {t(I,C) : si(I,C)} 1 :- mat(_,I), I < la.
5 c(X,I) :- t(I,C), s(X,J,A), d(X,D), I+D==J,
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```

for space reasons: $si(\text{gma}) = \sigma$, $la(\text{mbda}) = \lambda$

modelling alphabet

redefine the alphabet for the
 i -th character to be

$$\Sigma_i := \{S_1[i+d_1], \dots, S_m[i+d_m]\}$$

```
1 mat(X,I) :- s(X,I,_).
2 1 {d(X,D) : D = 0..n-la} 1 :- mat(X,0).
3 si(I,C) :- s(X,J,C), d(X,D), J-D>=0, I=J-D.
4 1 {t(I,C) : si(I,C)} 1 :- mat(_,I), I < la.
5 c(X,I) :- t(I,C), s(X,J,A), d(X,D), I+D==J,
           I < la, A != C.
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```

for space reasons: $si(\text{gma}) = \sigma$, $la(\text{mbda}) = \lambda$

modelling output T

- define variable $T_{i,c}$ as before
- but # clauses is $\mathcal{O}(\lambda)$ since $|T| = \lambda$ (before $|T| = n$)

$$\forall i \in [1.. \lambda] : \sum_{c \in \Sigma_i} T_{i,c} = 1$$

$[\mathcal{O}(\lambda), \mathcal{O}(\min(m, \sigma))]$

```
1 mat(X,I) :- s(X,I,_).
2 1 {d(X,D) : D = 0..n-la} 1 :- mat(X,0).
3 si(I,C) :- s(X,J,C), d(X,D), J-D>=0, I=J-D.
4 1 {t(I,C) : si(I,C)} 1 :- mat(_,I), I < la.
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```

for space reasons: $si(gma) = \sigma$, $la(mbda) = \lambda$

modelling costs

for costs we need to take shifts
into consideration

$$\forall i \in [1..n], c \in \Sigma, x \in [1..m] : \\ T_{i,c} \wedge S_x[i + d_x] \neq c \implies C_{i,x} \\ [\mathcal{O}(\lambda n m \sigma), \mathcal{O}(1)]$$

- additional n -term in
#clauses because offsets
 $d_x \in [1..n]$ given by
two-dimensional binary
array $D[x, \ell] = 1 \Leftrightarrow d_x = \ell$

```
1 mat(X,I) :- s(X,I,_).
2 1 {d(X,D) : D = 0..n-la} 1 :- mat(X,0).
3 si(I,C) :- s(X,J,C), d(X,D), J-D>=0, I=J-D.
4 1 {t(I,C) : si(I,C)} 1 :- mat(_,I), I < la.
5 c(X,I) :- t(I,C), s(X,J,A), d(X,D), I+D==J,
      I < la, A != C.
6 cost(X,C) :- C=#sum {1,I:c(X,I)}, mat(X,_).
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```

for space reasons: $si(\text{gma}) = \sigma$, $la(\text{mbda}) = \lambda$

complexity

- $\mathcal{O}(\lambda\sigma + m(n - \lambda))$
selectable variables: $T_{i,c}$
and d_x
- $\mathcal{O}(\lambda m)$ helper variables:
 $C_{i,x}$
- $\mathcal{O}(\lambda mn\sigma)$ clauses
- largest clause size: $\mathcal{O}(\lambda m)$

```
1 mat(X,I) :- s(X,I,_).
2 1 {d(X,D) : D = 0..n-la} 1 :- mat(X,0).
3 si(I,C) :- s(X,J,C), d(X,D), J-D>=0, I=J-D.
4 1 {t(I,C) : si(I,C)} 1 :- mat(_,I), I < la.
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```

for space reasons: $si(gma) = \sigma$, $la(mbda) = \lambda$

weakness

- ASP is slower than good MAX-SAT implementation, e.g.: string attractor
- Bannai+'22: MAX-SAT for string attractor in pysat, 566 line of code
- but ASP for string attractor in 5 line of code:

```
1 { in(1..n) }.  
2 sub_str(S,E) :- cover(S,E,_).  
3 :- not 1 { in(P) : cover(S,E,P) }, sub_str(S,E).  
4 #minimize { 1,P : in(P) }.  
5 #show in/1.
```

adjacent positions,



various constraints on $ref_{i \rightarrow j}$
+ auxiliary vars

Total $O(n^4)$ size

Bannai+'22

© by Mutsunori Banbara'22

conclusion

introduction of ASP to hard string problems

- ▮ fast prototyping
- ▮ actual code available for comparison, benchmarks, etc.
- ▮ framework to implement new code easily
- ▮ usually faster than naive implementations but slower than sophisticated ones

<https://github.com/koepp1/aspstring>
happy coding 🎵